

ANNEX B TO THE MEMORANDUM OF AGREEMENT BETWEEN THE UNITED STATES AIR FORCE AND THE UNITED STATES SPECIAL OPERATIONS COMMAND (USSOCOM)

SUBJECT: RESEARCH, DEVELOPMENT, AND ACQUISITION (RD&A) RESPONSIBILITIES

1. REFERENCES:

- a. Umbrella Memorandum of Agreement regarding the Responsibilities and Relationships between the United States Air Force and United States Special Operations Command, 16 Sept 89.
- b. Title 10, United States Code, Section 167 (10 USC 167).
- c. DoDD 2040.2, International Transfers of Technology, Goods, Services, and Munitions, 17 Jan 84.
- d. DoDD 5000.1, Defense Acquisition, 23 October 2000.
- e. DoD 5000.2-R Interim, Mandatory Procedures for Major Defense Acquisition Programs (MDAPs) and Major Automated Information System (MAIS) Acquisition Programs, 4 January 2001.
- f. DoDD 5230.11, Disclosure of Classified Military Information to Foreign Governments and International Organizations, 16 Jun 92.
- g. AF Policy Directive 63-1, Acquisition System, 31 Aug 93.
- h. AF Instruction 63-101, Acquisition System, 11 May 94.
- i. USSOCOM Directive 70-1, USSOCOM Acquisition Management Procedures, 24 Nov 99.
- j. USSOCOM Directive 71-4, Requirements Generation System (RGS) Special Operations-Peculiar Equipment and Materiel, 18 Oct 00.
- k. USSOCOM Directive 700-10, Special Operations Forces Life Cycle Logistics, 13 April 00.

(NOTE: During the life of this MOA, revisions of the above references will supersede those versions listed above.)

2. PURPOSE: This Annex supplements the Umbrella Memorandum of Agreement (Reference 1.a) and describes the relationship and responsibilities between the United States Air Force (USAF) and the United States Special Operations Command (USSOCOM) for the management of Research, Development, and Acquisition (RD&A) and Logistics (including modifications and upgrades) of Special Operations (SO)-peculiar systems and equipment, as well as the acquisition of SO-peculiar materiel, supplies, and services.

3. BACKGROUND: In accordance with reference 1.b., Commander in Chief, United States Special Operations Command (USCINCSOC) has the authority and responsibility to conduct the following functions relating to special operations activities (whether or not relating to the Special Operations Command).

- a. Prepare and submit to the Secretary of Defense (SECDEF) planning and programming recommendations and budget proposals for all Special Operations Forces (SOF) and for other forces assigned to USSOCOM.
- b. Validate and approve requirements.
- c. Establish priorities for requirements.

- d. Ensure interoperability of equipment and forces.
 - e. Develop and acquire SO-peculiar systems and equipment.
 - f. Acquire SO-peculiar materiel, supplies, and services.
4. **SCOPE:** This Annex applies to all USAF-managed SO-peculiar acquisition programs.
5. **TERMS AND DEFINITIONS:** Terms and definitions are listed in Appendix 1 of this Annex.
6. **USAF SO-PECULIAR PROGRAM MANAGEMENT:** The Special Operations Acquisition Executive (SOAE) and Air Force Acquisition Executive (AFAE) will concur on which SO-peculiar acquisition programs the USAF will manage. A jointly developed Program Specific Memorandum of Agreement (PSMOA) will be prepared for each SO-peculiar program assigned to the USAF for management. The Milestone Decision Authority (MDA) and any program unique requirements will be identified in the PSMOA.
- a. All USAF-managed SO-peculiar programs shall be in accordance with the DOD, USAF, and USSOCOM policies, instructions, regulations and directives as specified in the PSMOA and this Annex. Conflicts between any policy, instruction, regulation or directive will be brought to the attention of both parties for resolution.
 - b. Realignment of Major Force Program 11 (MFP-11) funds by USAF within USAF-managed Special Operations Research and Development or Procurement budget lines is authorized with prior approval of USSOCOM. This is intended to facilitate efficient program execution; however, this is subject to congressional limitations.
 - c. Proposed Foreign Disclosures and Foreign Military Sales (FMS)/Direct Commercial Sales (DCS) pertaining to USAF-managed SO-peculiar or equivalent systems will be coordinated with USSOCOM prior to being processed through U.S. Army or other DoD channels.
 - d. For USSOCOM-managed programs requiring support from USAF Materiel Command or field activity facilities and use of laboratories, USSOCOM Acquisition and Logistics Center (SOAL) will submit requests for their use in accordance with applicable USAF policy directives or instructions.
7. **USAF RESPONSIBILITIES:** For USAF-managed SO-peculiar programs, the USAF shall:
- a. Accept program management responsibilities as documented in the approved PSMOA.
 - b. Assign either a Program Executive Officer (PEO), or Designated Acquisition Commander (DAC), and a System Program Director (SPD) or System Manager (SM) to manage the acquisition of a SO-peculiar system.
 - c. Ensure the appropriate acquisition, organizational, and staff structures are in place to support the USAF-managed SO-peculiar programs.
 - d. Provide staff direction and control on matters involving RD&A and test and evaluation for USAF execution of SO-peculiar programs.
 - e. Ensure the Acquisition Program Baseline (APB) and changes are developed by the SPD/SM and approved by the appropriate MDA. When the USAF has MDA the APB will be jointly approved and signed by the MDA and USSOCOM. The USAF SPD/SM shall forward a copy of the APB, through the USSOCOM System Acquisition Manager (SAM), to the SOAE or his/her designated representative for approval according to the terms of the PSMOA.

f. Provide USSOCOM with the necessary technical, cost, and schedule information, including milestone decision documentation, to permit USSOCOM visibility over USAF-managed SO-peculiar programs. Provide information from and/or connectivity/linkages between appropriate USAF databases and systems and USSOCOM logistics and management information systems.

g. Grant USSOCOM membership in the Overarching Integrated Product Teams (OIPs), Integrating IPTs (IIPs), and Working-level IPTs for SO-peculiar programs. Provide milestone decision documentation to the Special Operations Acquisition and Logistics Center (ATTN: SOAL-PEO-xxx) NLT 30 days prior to the scheduled milestone decision review or as otherwise stipulated in the PSMOA.

h. Keep the USSOCOM program SAM informed of program management reviews, In-Process Reviews (IPRs), technical reviews, Integrated Product Team (IPT) meetings, program-related working group meetings, and other acquisition forums, so that the SAM may arrange appropriate USSOCOM representation.

i. Assist USSOCOM in preparing Research, Development, Test and Evaluation (RDT&E), Procurement and Modification, and Operations and Maintenance (O&M) budget documentation to support USSOCOM Program Objective Memorandum (POM), Budget Estimate Submissions (BES), and the President's Budget (PB).

j. Obtain USSOCOM approval in advance of any proposed programs or modifications that could generate MFP-11 funding requirements or impact SOF readiness.

k. Support USSOCOM in preparing for milestone decision activities for SO-peculiar programs.

l. Prepare and jointly approve Test Plans. Ensure appropriate testing and evaluation are conducted on USAF-managed SO-peculiar programs in accordance with applicable regulations, instructions, or directives as specified in the PSMOA, and provide the necessary test and evaluation support assets.

m. Ensure that non-reimbursable USAF support is provided in the form of common equipment and services.

n. Provide technical oversight and laboratory support for development of SO relevant advanced technologies.

o. Coordinate with USSOCOM on the development of technologies that are relevant to both USAF and USSOCOM missions. Specific responsibilities, to include funding, will be reflected in a technology specific MOA.

p. Request USSOCOM participation in future USAF concept and program studies which may involve special operations forces.

q. Notify USSOCOM with the USAF's Foreign Disclosure Officer (FDO) and FMS/DCS points of contact to facilitate coordination efforts.

r. Coordinate pertinent foreign disclosure and FMS/DCS requests for SO-peculiar or equivalent systems with the identified USSOCOM points of contact prior to processing through DoD channels.

s. Air Force Audit Agency will coordinate with USSOCOM Inspector General Audit Division on all audits planned by AFAA of USAF-managed MFP-11 funded programs

t. Air Force Audit Agency will conduct audits at the request of USSOCOM Inspector General Audit Division of USAF-managed MFP-11 funded programs/special programs when AFAA resources permit. Provide audit results to USSOCOM IG, 7701 Tampa Point Boulevard, MacDill Air Force Base, Florida 33621.

u. When specified by separate agreement between USAF and USSOCOM, withdraw contracting officer warrants from US Air Force contracting personnel on positions funded by SOF; and recognize USSOCOM's responsibility as a "head of agency" under 10 USC 167 (e)(4)(B) to perform periodic procurement management reviews and assessments of contract actions awarded under its warrants.

8. USSOCOM RESPONSIBILITIES: For USAF-managed SO-peculiar programs, USSOCOM shall:

a. Request USAF management by forwarding a jointly developed PSMOA and requirements documentation to the AFAE.

b. Validate, approve, and prioritize SO-peculiar requirements.

c. Approve and sign the USAF-prepared APBs and changes for USAF-managed SO-peculiar programs throughout the program's life-cycle according to the terms of the PSMOA.

d. Provide funding for USAF-managed SO-peculiar programs in accordance with the approved APB and the guidance provided in the Planning, Programming, Budgeting and Execution of Major Force Program 11 (MFP-11), Annex A, to reference 1.a.

e. Approve the acquisition and life-cycle management strategy and to provide recommendations to the specific Materiel Developer and the life-cycle manager.

f. Provide membership in Overarching Integrated Product Teams (OIPTs), Integrating IPTs (IIPTs), and Working-level IPTs for all USAF-managed SO-peculiar programs.

g. Participate in SO-peculiar program management reviews, IPRs, technical reviews, IPTs, and other program-related working groups, and acquisition forums.

h. Designate a SAM for each program as the single point of contact within USSOCOM to serve as the link between USSOCOM and USAF.

i. Invite the Air Force to participate in future special operations concept and program studies as well as the Phase A planning process for programs that the Air Force may be asked to manage.

j. Provide a liaison officer, if required, to an appropriate system program office if agreed to in the PSMOA.

k. Provide membership in the source selection process for USAF-managed SO-peculiar programs.

l. Participate in the planning, system testing, and evaluation of SO-peculiar programs.

m. Jointly approve Test Plans for USAF-managed SO-peculiar programs prior to submission to the MDA.

n. Coordinate with the USAF on development of technologies that are relevant to both USAF and USSOCOM missions. Specific responsibilities, to include funding, will be reflected in a technology specific MOA.

o. At the SOAE's discretion, provide letter inputs to the SPD's/SM's and PEO's performance reports.

p. Submit responses to Congressional, Office of Management and Budget (OMB), and the Office of the Secretary of Defense (OSD) inquiries regarding USAF-managed SO-peculiar acquisition programs. Responses will be made after consultation with AFAE, PEO, DAC, Air Force Materiel Command, and SPD/SM, when required.

q. Provide the USAF any SO-peculiar or other non USAF-common equipment, or funding for such equipment that USSOCOM requires for incorporation into USAF-managed SO-peculiar programs or that USSOCOM requires in USAF programs.

r. Notify the USAF of the command's Foreign Disclosure Officer (FDO) and FMS/DCS points of contact to facilitate coordination efforts.

s. Provide comments and recommendations to the USAF concerning matters with foreign disclosures or FMS/DCS as they apply to SO-peculiar or equivalent systems.

t. Notify the USAF in advance of any proposed programs or modifications that could generate Air Force resource requirements or impact Air Force readiness.

u. When specified by separate agreement between USAF and USSOCOM, issue contracting officer warrants to US Air Force contracting personnel on positions funded by SOF; and perform periodic procurement management reviews and assessments of contract actions awarded under its warrants.

v. Coordinate with Air Force Audit Agency on all audits planned by USSOCOM of USAF-managed MFP-11 funded programs.

w. Request audit work to be done by AFAA of USAF managed MFP-11 funded programs when AFAA and USSOCOM mutually determine that audit objectives will be completed more efficiently and more timely with AFAA auditors.

9. EFFECTIVE DATE, PERIODIC REVIEW, AND TERMINATION:

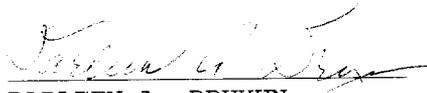
a. This Annex is effective upon signature by both parties and shall remain in effect unless revised, superseded, or terminated.

b. This Annex shall be reviewed biennially and updated as required.

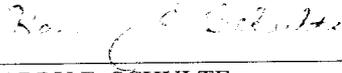
c. Proponents for this MOA Annex are AFAE, SAF/AQXA (DSN 425-7217) and the SOAE, SOAL-MP (DSN 299-9417).

DEPARTMENT OF THE AIR FORCE

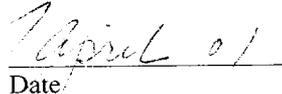
UNITED STATES SPECIAL OPERATIONS COMMAND



DARLEEN A. DRUYUN
Principal Deputy Assistant
Secretary (Acquisition & Management)



HARRY E. SCHULTE
USSOCOM Acquisition Executive



Date



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APPENDIX 1 TO ANNEX B OF THE MEMORANDUM OF AGREEMENT BETWEEN UNITED STATES AIR FORCE AND UNITED STATES SPECIAL OPERATIONS COMMAND FOR RESEARCH, DEVELOPMENT AND ACQUISITION RESPONSIBILITIES

SUBJECT: TERMS AND DEFINITIONS

1. **Air Force Acquisition Executive (AFAE).** The AFAE is the principal advisor and staff assistant to the Secretary of the Air Force on all matters pertaining to the DOD and Air Force acquisition systems and for the acquisition of Air Force systems and equipment. The Assistant Secretary of the Air Force for Acquisition has been designated the AFAE (also known as the Component Acquisition Executive (CAE)).
2. **Special Operations Acquisition Executive (SOAE).** The Acquisition Executive and Senior Procurement Executive (Deputy for Acquisition) appointed by Commander in Chief, U.S. Special Operations Command (USCINCSOC) for USSOCOM, having all the duties and authority of DOD component acquisition executives as defined in DODD 5000.1 (see 10 USC 167 (e) (4)).
3. **Special Operations (SO)-Peculiar.** Equipment, materiel, supplies, and services required for SO activities for which there is no Service-common requirement. These are limited to items and services initially designed for, or used by special operations forces (SOF) until adapted for Service-common use by other DoD forces; modifications approved by the USCINCSOC for application to standard items and services used by other DoD forces; and items and services approved by the USCINCSOC as critically urgent for the immediate accomplishment of an SO activity.
4. **Systems Acquisition Manager (SAM).** USSOCOM acquisition specialist responsible to the USSOCOM PEO for managing and reporting on MFP-11 resourced acquisition programs being executed by a Service/Agency PM. The program SAM acts as the single point of contact between USSOCOM and the Service/Agency PM. He/she represents USSOCOM at Service/Agency program office IPTs. SAMs are also appointed to represent SOAL on RIPTs and to chair PIPTs during the process of transitioning materiel requirements into acquisition programs.
5. **USCINCSOC.** Commander in Chief, United States Special Operations Command. The term is used to denote the Commander in Chief and is employed in the context of establishing policy and/or direction.
6. **USSOCOM.** United States Special Operations Command. The term is used to denote the command as an organization.