



**UNITED STATES SPECIAL OPERATIONS COMMAND**  
**OFFICE OF THE ACQUISITION EXECUTIVE**  
7701 TAMPA POINT BLVD.  
MACDILL AIR FORCE BASE, FLORIDA 33621-5323

14 JUN 2000

MEMORANDUM FOR: ASSISTANT SECRETARY OF THE ARMY (ACQUISITION, LOGISTICS and TECHNOLOGY)(ASA(ALT)), 103 ARMY PENTAGON, WASHINGTON, DC 20310-0103

SUBJECT: Biennial Review of the U.S. Army/U.S. Special Operations Command Memorandum of Agreement Annex for Research, Development, and Acquisition (RD&A) Responsibilities

1. Enclosed are two signed copies of the updated Memorandum of Agreement Annex D for Research, Development, and Acquisition (RD&A) outlining the roles and responsibilities between the United States Army (USA) and United States Special Operations Command (USSOCOM).
2. I commend your staff for its excellent support and cooperation over the past several months to update the previous RD&A Annex. In particular, the efforts of Lieutenant Colonel Lawrence Thurman from SARD-RP made the staffing quick and effortless for all concerned.
3. I am positive that the updates included in the revised document are in keeping with the direction of DoD Acquisition Reform and will allow for improved working environments for both the USA and USSOCOM.
4. Request one of the two enclosed original copies be returned to my office upon signature.

*Harry E. Schulte*

Encl  
as

HARRY E. SCHULTE  
Acquisition Executive

**ANNEX D TO THE MEMORANDUM OF AGREEMENT BETWEEN THE UNITED STATES ARMY AND THE UNITED STATES SPECIAL OPERATIONS COMMAND (USSOCOM)**

**SUBJECT: ACQUISITION, LOGISTICS AND TECHNOLOGY (AL&T) RESPONSIBILITIES**

**1. REFERENCES:**

- a. Umbrella Memorandum of Agreement regarding the Responsibilities and Relationships between the United States Army and United States Special Operations Command, 19 Feb 93.
- b. Title 10, United States Code, Section 167 (10 USC 167).
- c. DoDD 2040.2, International Transfers of Technology, Goods, Services, and Munitions, 17 Jan 84.
- d. DoDD 5000.1, Defense Acquisition, 15 Mar 96, with change 1 dated 21 May 99.
- e. DoD 5000.2-R, Mandatory Procedures for Major Defense Acquisition Programs (MDAPs) and Major Automated Information System (MAIS) Acquisition Programs, 15 Mar 96, with changes 1-4 dated, 11 May 99.
- f. DoDD 5230.11, Disclosure of Classified Military Information to Foreign Governments and International Organizations, 16 Jun 92.
- g. AR 70-1, Army Acquisition Policy, 15 Dec 97.
- h. AR 700-127, Integrated Logistics Support, 10 Nov 99
- i. AR 700-142, Materiel Release, Fielding and Transfer, 1 May 95
- j. DA Pam 70-3, Army Acquisition Procedures, 15 July 99.
- k. USSOCOM Directive 70-1, USSOCOM Acquisition Management Procedures, 24 Nov 99.
- l. USSOCOM Directive 71-4, Requirements Generation System (RGS) Special Operations-Peculiar Equipment and Materiel. (Draft)
- m. USSOCOM Directive 700-10, Special Operations Forces Life Cycle Logistics, 13 Apr 00.

(NOTE: During the life of this MOA, revisions of the above references will supersede those versions listed above.)

**2. PURPOSE:** This Annex supplements the Umbrella Memorandum of Agreement (Reference 1.a) and describes the relationship and responsibilities between the United States Army and the United States Special Operations Command (USSOCOM) for the management of Research, Development, and Acquisition (RD&A) and Logistics (including modifications and upgrades) of Special Operations (SO)-peculiar systems and equipment, as well as the acquisition of SO-peculiar materiel, supplies, and services.

**3. BACKGROUND:** In accordance with reference 1.b, Commander in Chief, United States Special Operations Command (USCINCSOC) has the authority and responsibility to conduct the following functions relating to special operations activities (whether or not relating to the United States Special Operations Command):

- a. Prepare and submit to the Secretary of Defense (SECDEF) planning and programming recommendations and budget proposals for all Special Operations Forces (SOF) and for other forces assigned to USSOCOM.
- b. Validate and approve requirements.
- c. Establish priorities for requirements.
- d. Ensure interoperability of equipment and forces.

to the Special Operations Acquisition and Logistics Center (ATTN: SOAL-PEO-xxx) NLT 30 days prior to the scheduled milestone decision review or as otherwise stipulated in the PSMOA.

h. Keep the USSOCOM program SAM informed of program management reviews, In-Process Reviews (IPRs), technical reviews, Integrated Product Team (IPT) meetings, program-related working group meetings, and other acquisition forums, so that the SAM may arrange appropriate USSOCOM representation.

i. Assist USSOCOM in preparing Research, Development, Test, and Evaluation (RDT&E), Procurement and Modification budget documentation to support USSOCOM Program Objective Memorandum (POM) and Budget Estimate Submissions (BES), the President's Budget (PB), and Operations and Maintenance (O&M) funds to be executed by the Program/Project Manager.

j. Obtain USSOCOM approval in advance of any proposed programs or modifications that could generate MFP-11 funding requirements or impact SOF readiness.

k. Support USSOCOM in preparing for milestone decision activities for SO-peculiar programs.

l. Prepare and jointly approve Test Plans or TEMPs. Ensure appropriate testing and evaluation are conducted on US Army-managed programs in accordance with applicable regulations, instructions, or directives as specified in the PSMOA, and provide necessary test and evaluation support assets.

m. Assist USSOCOM in planning, executing and assessing the Integrated Logistics Support (ILS) program to include the preparation of any Supportability Strategies.

n. Ensure that non-reimbursable US Army support is provided in the form of common equipment and services.

o. Provide technical oversight and laboratory support for development of SO relevant advanced technologies.

p. Coordinate with USSOCOM on the development of technologies that are relevant to both the US Army and USSOCOM missions. Specific responsibilities, to include funding, will be reflected in a technology specific MOA.

q. Request USSOCOM participation if future US Army concept and program studies may involve special operations forces.

r. Notify USSOCOM with the US Army's Foreign Disclosure Officer (FDO) and FMS/DCS points of contact to facilitate coordination efforts.

s. Coordinate pertinent foreign disclosure and FMS/DCS requests for SO-peculiar or equivalent systems with the identified USSOCOM points of contact prior to processing through DoD channels.

t. Grant USSOCOM auditors and the Inspector General's (IG) staff access to all documentation, personnel, and information pertaining to US Army-managed, MFP-11 funded programs. Notify USSOCOM IG of any General Accounting Office (GAO), DOD, or US Army investigations or audits of MFP-11 funded programs. Similar access and notification will be afforded to Command Operations Review Board personnel in the case of Special Access Programs.

**8. USSOCOM RESPONSIBILITIES:** For US Army-managed SO-peculiar programs, USSOCOM shall:

a. Request US Army management by forwarding a jointly developed PSMOA and requirements documentation (ORD, CONOPS, etc) to the AAE.

b. Validate, approve and prioritize SO-peculiar requirements.

c. Approve and sign the US Army-prepared APBs and changes for US Army-managed SO-peculiar programs throughout the program's life-cycle according to the terms of the PSMOA.

d. Provide funding for US Army-managed SO-peculiar programs in accordance with the approved APB and the guidance provided in the Planning, Programming, and Budgeting (MFP-11) Annex F, to reference 1.a.

- e. Approve the acquisition and life-cycle management strategy and provide recommendations to the specific Materiel Developer and the life-cycle manager.
- f. Provide membership to the ASARC and other decision-making forums for all US Army-managed SO-peculiar programs.
- g. Participate in US Army SO-peculiar program management reviews, IPRs, technical reviews, IPTs, and other program-related working groups, and acquisition forums.
- h. Provide membership to the Supportability Integrated Product Team (SIPT) and have approval authority of any Supportability Strategies.
- i. Designate a SAM for each program as the single focal point within USSOCOM to serve as the link between USSOCOM and US Army.
- j. Invite the US Army to participate in future special operations concept and program studies as well as the Phase 0 planning process for programs that the US Army may be asked to manage.
- k. Provide a liaison officer, if required, to an appropriate system program office if agreed to in the PSMOA.
- l. Provide membership in the source selection process for US Army-managed SO-peculiar programs.
- m. Participate in the planning, system testing and evaluation of SO-peculiar programs.
- n. Jointly approve Test Plans or TEMPs for US Army-managed SO-peculiar programs prior to submission to the MDA.
- o. Coordinate with the US Army on the development of technologies that are relevant to both the US Army and USSOCOM missions. Specific responsibilities, to include funding, will be reflected in a technology specific MOA.
- p. At the SOAE's discretion, provide letter inputs to PM and PEO evaluation reports.
- q. Submit responses to Congressional, Office of Management and Budget (OMB), and the Office of the Secretary of Defense (OSD) inquiries regarding US Army-managed SO-peculiar acquisition programs. Responses will be made after consultation with the AAE, PEO, PM or materiel command when required.
- r. Provide the US Army any SO-peculiar or other non-US Army common equipment, or funding for such equipment that USSOCOM requires for incorporation into US Army-managed SO-peculiar programs or that USSOCOM requires in US Army programs.
- s. Notify the US Army of the command's Foreign Disclosure Officer (FDO) and FMS/DCS points of contact to facilitate coordination efforts.
- t. Provide comments and recommendations to the US Army concerning matters with foreign disclosures or FMS/DCS as they apply to SO-peculiar or equivalent systems.
- u. Notify the US Army in advance of any proposed programs or modifications that could generate US Army resource requirements or impact US Army readiness.

**9. EFFECTIVE DATE, PERIODIC REVIEW, AND TERMINATION:**

- a. This Annex is effective upon signature by both parties and shall remain in effect unless revised, superseded, or terminated.
- b. This Annex shall be reviewed biennially and updated as required.

c. Proponents for this MOA Annex are ASA(ALT), SARD-RP (DSN 664-7149) and USSOCOM, SOAL-MP (DSN 299-9417).

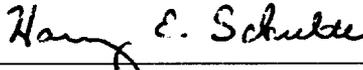
DEPARTMENT OF THE ARMY

UNITED STATES SPECIAL OPERATIONS COMMAND

  
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PAUL J. HOÉPER  
Army Acquisition Executive

13 SEP 00  
Date:

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HARRY E. SCHULTE  
USSOCOM Acquisition Executive

14 JUN 00  
Date:

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- a. Prepare and submit to the Secretary of Defense (SECDEF) planning and programming recommendations and budget proposals for all Special Operations Forces (SOF) and for other forces assigned to USSOCOM.
- b. Validate and approve requirements.
- c. Establish priorities for requirements.
- d. Ensure interoperability of equipment and forces.

e. Develop and acquire SO-peculiar systems and equipment.

f. Acquire SO-peculiar materiel, supplies and services.

4. **SCOPE:** The Annex applies to all US Army-managed SO-peculiar acquisition programs.

5. **TERMS AND DEFINITIONS:** Terms and definitions are listed in Appendix 1 of this Annex.

6. **US ARMY SO-PECULIAR PROGRAM MANAGEMENT:** The Special Operations Acquisition Executive (SOAE) and the Army Acquisition Executive (AAE) will concur on which SO-peculiar acquisition programs the US Army will manage. A jointly developed Program Specific Memorandum of Agreement (PSMOA) will be prepared for each SO-peculiar program assigned to US Army for management. The Milestone Decision Authority (MDA) and any program unique requirements will be identified in the PSMOA.

a. All US Army-managed SO-peculiar programs shall be in accordance with the DOD, US Army, and USSOCOM policy, instructions, regulations and directives as specified in the PSMOA and this Annex. Conflicts between any policy, instruction, regulation and directive will be brought to the attention of both parties for resolution.

b. Realignment of Major Force Program 11 (MFP-11) funds by US Army within US Army-managed Special Operations Research and Development or Procurement budget lines is authorized with prior approval of USSOCOM. This is intended to facilitate efficient program execution; however, is subject to congressional limitations.

c. Proposed Foreign Disclosures and Foreign Military Sales (FMS)/Direct Commercial Sales (DCS) pertaining to US Army-managed SO-peculiar or equivalent systems will be coordinated with USSOCOM prior to being processed through US Army or other DoD channels.

d. For USSOCOM-managed programs requiring support from US Army materiel commands and field activities and use of laboratories, SOAL will submit requests for their use in accordance with applicable US Army regulations, policies or directives.

7. **US ARMY RESPONSIBILITIES:** For US Army-managed SO-peculiar programs, the US Army shall:

a. Accept program management responsibilities documented in the approved PSMOA.

b. Assign a Materiel Developer (MATDEV), Program Executive Officer, and Program/Project/Product Manager (PM), as appropriate, to manage the acquisition of a SO-peculiar system.

c. Ensure appropriate acquisition, organizational and staff structures are in place to support the US Army-managed SO-peculiar programs.

d. Provide staff direction and control on matters involving RD&A and test and evaluation for US Army execution of SO-peculiar programs.

e. Ensure the Acquisition Program Baseline (APB) and changes are developed by the PM and approved by the appropriate MDA. When the US Army has MDA, the APB will be jointly approved and signed by the MDA and USSOCOM. The US Army PM shall forward a copy of the APB, through the USSOCOM System Acquisition Manager (SAM), to the SOAE or his/her designated representative for approval according to the terms of the PSMOA.

f. Provide USSOCOM with the necessary technical, cost, and schedule information, including milestone decision documentation, to permit USSOCOM visibility over US Army-managed SO-peculiar programs. Provide information from and/or connectivity/linkages between appropriate US Army databases and systems and USSOCOM logistics and management information systems.

g. Grant USSOCOM membership in the Army Systems Acquisition Review Council (ASARC) and lower-level decision meetings when US Army has MDA for SO-peculiar programs. Provide milestone decision documentation

to the Special Operations Acquisition and Logistics Center (ATTN: SOAL-PEO-xxx) NLT 30 days prior to the scheduled milestone decision review or as otherwise stipulated in the PSMOA.

h. Keep the USSOCOM program SAM informed of program management reviews, In-Process Reviews (IPRs), technical reviews, Integrated Product Team (IPT) meetings, program-related working group meetings, and other acquisition forums, so that the SAM may arrange appropriate USSOCOM representation.

i. Assist USSOCOM in preparing Research, Development, Test, and Evaluation (RDT&E), Procurement and Modification budget documentation to support USSOCOM Program Objective Memorandum (POM) and Budget Estimate Submissions (BES), the President's Budget (PB), and Operations and Maintenance (O&M) funds to be executed by the Program/Project Manager.

j. Obtain USSOCOM approval in advance of any proposed programs or modifications that could generate MFP-11 funding requirements or impact SOF readiness.

k. Support USSOCOM in preparing for milestone decision activities for SO-peculiar programs.

l. Prepare and jointly approve Test Plans or TEMPs. Ensure appropriate testing and evaluation are conducted on US Army-managed programs in accordance with applicable regulations, instructions, or directives as specified in the PSMOA, and provide necessary test and evaluation support assets.

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a. Request US Army management by forwarding a jointly developed PSMOA and requirements documentation (ORD, CONOPS, etc) to the AAE.

b. Validate, approve and prioritize SO-peculiar requirements.

c. Approve and sign the US Army-prepared APBs and changes for US Army-managed SO-peculiar programs throughout the program's life-cycle according to the terms of the PSMOA.

d. Provide funding for US Army-managed SO-peculiar programs in accordance with the approved APB and the guidance provided in the Planning, Programming, and Budgeting (MFP-11) Annex F, to reference 1.a.

e. Approve the acquisition and life-cycle management strategy and provide recommendations to the specific Materiel Developer and the life-cycle manager.

f. Provide membership to the ASARC and other decision-making forums for all US Army-managed SO-peculiar programs.

g. Participate in US Army SO-peculiar program management reviews, IPRs, technical reviews, IPTs, and other program-related working groups, and acquisition forums.

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k. Provide a liaison officer, if required, to an appropriate system program office if agreed to in the PSMOA.

l. Provide membership in the source selection process for US Army-managed SO-peculiar programs.

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p. At the SOAE's discretion, provide letter inputs to PM and PEO evaluation reports.

q. Submit responses to Congressional, Office of Management and Budget (OMB), and the Office of the Secretary of Defense (OSD) inquiries regarding US Army-managed SO-peculiar acquisition programs. Responses will be made after consultation with the AAE, PEO, PM or materiel command when required.

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b. This Annex shall be reviewed biennially and updated as required.

c. Proponents for this MOA Annex are ASA(ALT), SARD-RP (DSN 664-7149) and USSOCOM, SOAL-MP (DSN 299-9417).

DEPARTMENT OF THE ARMY

UNITED STATES SPECIAL OPERATIONS COMMAND

*Paul J. Hooper*

PAUL J. HOOPER  
Army Acquisition Executive

13 SEP 00

Date:

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as

*Harry E. Schulte*

HARRY E. SCHULTE  
USSOCOM Acquisition Executive

14 JUN 00

Date:

**APPENDIX 1: TO ANNEX D TO THE MEMORANDUM OF AGREEMENT BETWEEN THE UNITED STATES ARMY AND THE UNITED STATES SPECIAL OPERATIONS COMMAND**

**SUBJECT: TERMS AND DEFINITIONS**

1. **Army Acquisition Executive (AAE).** The senior Department of the Army official, designated by the Secretary of the Army pursuant to DoDD 5000.1 responsible for all acquisition matters within the Department of the Army.
2. **Special Operations Acquisition Executive (SOAE).** The Acquisition Executive and Senior Procurement Executive (Deputy for Acquisition) appointed by USCINCSOC for USSOCOM having all the duties and authority of DoD component acquisition executives as defined in DoDD 5000.1 and directed in 10 USC 167(e)(4).
3. **Special Operations (SO)-Peculiar.** Equipment, materiel, supplies, and services required for SO activities for which there is no Service-common requirement. These are limited to items and services initially designed for, or used by special operations forces (SOF) until adapted for Service-common use by other DoD forces; modifications approved by the Commander in Chief, U.S. Special Operations Command (USCINCSOC) for application to standard items and services used by other DoD forces; and items and services approved by the USCINCSOC as critically urgent for the immediate accomplishment of an SO activity.
4. **Systems Acquisition Manager (SAM).** USSOCOM acquisition specialist responsible to the USSOCOM PEO for managing and reporting on MFP-11 resourced acquisition programs being executed by a Service/Agency PM. The program SAM acts as the single point of contact between USSOCOM and the Service/Agency PM. He/she represents USSOCOM at Service/Agency program office IPTs. SAMs are also appointed to represent SOAL on RIPTs and to chair PIPTs during the process of transitioning materiel requirements into acquisition programs.
5. **USCINCSOC.** Commander in Chief, United States Special Operations Command. The term is used to denote the Commander in Chief and is employed in the context of establishing policy and/or direction.
6. **USSOCOM.** United States Special Operations Command. The term is used to denote the Command as an organization.